**BINDING WRITTEN DECLARATION CONCERNING BENEFICIAL OWNER**

**(or controlling persons, if applicable)**

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| **Identification details of the Client** | |
| Business name: |  |
| Registered office: |  |
| Company ID |  |
| Registered: in the Commercial Register |  |

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| **Authorized representative** | | | | |
| Name and surname, title: |  | | | |
| Place of birth, country |  | | | |
| Telephone |  | | | |
| Birth identification number |  | Date of birth | |  |
| Permanent residence address |  | | | |
| Nationality |  | | | |
| Is the authorized representative of the Client a politically exposed person? | ☐ YES, he/she is a politically exposed person | | ☐ NO, he/she is not a politically exposed person | |

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| **Authorized representative** | | | | |
| Name and surname, title: |  | | | |
| Place of birth, country |  | | | |
| Telephone |  | | | |
| Birth identification number |  | Date of birth | |  |
| Permanent residence address |  | | | |
| Nationality |  | | | |
| Is the authorized representative of the Client a politically exposed person? | ☐ YES, he/she is a politically exposed person | | ☐ NO, he/she is not a politically exposed person | |

Client hereby declares on honour that the beneficial owner(s) of the above-mentioned legal entity is/are:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Beneficial owner** |  | | | |
| Name and surname, title |  | | | |
| Place of birth, country |  | | | |
| Birth identification number |  | Date of birth | |  |
| Permanent residence address |  | | | |
| Nationality |  | | | |
| He/she is the beneficial owner | ☐ through a controlling ownership interest in the Client (e.g. shares in a joint stock company)  ☐ through a position of a senior managing official held within the Client  ☐ through other means | | | |
| Controlling ownership interest in the Client expressed as a percentage | % | | | |
| Is this beneficial owner a politically exposed person? | ☐ YES, he/she is a politically exposed person | | ☐ NO, he/she is not a politically exposed person | |

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| **Beneficial owner** |  | | | |
| Name and surname, title |  | | | |
| Place of birth, country |  | | | |
| Birth identification number |  | Date of birth | |  |
| Permanent residence address |  | | | |
| Nationality |  | | | |
| He/she is the beneficial owner | ☐ through a controlling ownership interest in the Client (e.g. shares in a joint stock company)  ☐ through a position of a senior managing official held within the Client  ☐ through other means | | | |
| Controlling ownership interest in the Client expressed as a percentage | % | | | |
| Is this beneficial owner a politically exposed person? | ☐ YES, he/she is a politically exposed person | | ☐ NO, he/she is not a politically exposed person | |

**DEFINITION OF THE BENEFICIAL OWNER**

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| 1. Beneficial owner is any natural person which has effective control of a legal entity, a natural person – entrepreneur or endowed institution, and any natural person for whose benefit these entities perform their activities or transactions; the beneficial owners include in particular, |
| 1. if it is a legal entity, which is neither an endowed institution nor an issuer of securities admitted to trading on a regulated market, which is subject to disclosure requirements under a special legal regulation, equivalent legal regulation of a Member State of the European Union or another state which is a party to the European Economic Area Agreement (hereinafter referred to as the “Member State”) or equivalent international legal regulations, a natural person who |
| 1. has a direct or indirect interest or their sum of at least 25 % in voting rights of the legal entity or in the equity capital, including bearer shares, |
| 1. is entitled to appoint, otherwise constitute or remove a governing body, executive body, supervisory body or auditing body in the legal entity or any member thereof, |
| 1. controls the legal entity in a manner other than those referred to in clause 1 and 2, |
| 1. is entitled to 25% of the economic benefit arising from the business of the legal entity or any other activity thereof, |
| 1. if it is a natural person – entrepreneur, a natural person who is entitled to 25% of the economic benefit arising from the business of the natural person – entrepreneur or any other activity thereof, |
| 1. if it is an endowed institution, a natural person who |
| 1. is a founder or incorporator of the endowed institution; where the founder or incorporator is a legal entity, a natural person referred to in a), |
| 1. is entitled to appoint, otherwise constitute or recall a governing body, executive body, supervisory body or auditing body of the endowed institution or a member thereof, or he/she is a member of the body that is entitled to appoint, otherwise constitute or remove these bodies or the members thereof, |
| 1. is a governing body, executive body, supervisory body, auditing body or a member of these bodies, |
| 1. is a beneficiary of at least 25% of funds supplied by the endowed institution, provided that the future beneficiaries of those funds are designated; where the future beneficiaries of the endowed institution funds have not been designated, the beneficiary owner is deemed to be the group of persons who have a significant benefit arising from the establishment or activity of the endowed institution. |
| 1. Where no natural person fulfils the criteria referred to in paragraph 1 (a), the beneficial owners of this entity are deemed to be the members of its top management; the governing body or the members of the governing body are deemed to be the members of the top management. |
| 1. The beneficial owner is also a natural person who on its own fails to fulfil the criteria referred to in paragraph 1 (a), (b) or (c), second and fourth clause, however, together with another person acting in concert or taking joint action, they fulfil at least some of these criteria. |
| **Politically exposed person** |
| 1. For the purposes of this Act, a politically exposed person shall mean a natural person who is currently or was previously entrusted with a prominent public office. |
| 1. Prominent public office shall mean |
| 1. a head of state, prime minister, deputy prime minister, minister, head of the central government authority, secretary of state or similar deputy minister, |
| 1. a member of Parliament, |
| 1. judge of the Supreme Court, judge of the Supreme Administrative Court, judge of the Constitutional Court or other high-level judicial bodies the decisions of which are not subject to further appeal, except for special cases, President or vice-President of the Judicial Council of the Slovak Republic, President or vice-President of the Special Criminal Court of the Slovak Republic, president/presiding judge/ or vice-president of the regional court, president/presiding judge or vice-president of the administrative court, president/presiding judge or vice-president of the district court) |
| 1. a member of the Court of Auditors or of the Central Bank Board, |
| 1. an ambassador, a chargé d'affaires, |
| 1. a high-rank military officer, armed forces or armed security forces officer, |
| 1. a member of the executive body, supervisory body or auditing body of a state enterprise or a state-owned company, 2. general prosecutor, deputy general prosecutor, special prosecutor, deputy special prosecutor, regional prosecutor, deputy regional prosecutor, district prosecutor, deputy district prosecutor |
| 1. person in other similar functionof national or regional significance or othersimilar post in the institutions of the European Union or international organizations, |
| 1. a member of the governing body of a political party or political movement. |
| 1. For the purposes of this Act, a politically exposed person shall also mean a natural person who is |
| 1. the spouse or a person having an equivalent status to that of the spouse of a person referred to in paragraph 1, |
| 1. a child, son-in law or daughter-in law of a person referred to in paragraph 1 or a person having a status similar to that of a son-in law or daughter-in law of a person referred to in paragraph 1, or |
| 1. parent of a person referred to in paragraph 1. |
| 1. For the purposes of this Act, a politically exposed person shall also mean a natural person known to be the beneficial owner |
| 1. of the same Client or otherwise controls the same Client as a person referred to in paragraph 1, or runs a common business with a person referred to in paragraph 1, or |
| 1. of the Client that has been established for the benefit of a person referred to in paragraph 1. |

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| The Client is responsible for the data and content of this binding written declaration and represents that all the data set out in this list of authorized representatives and controlling persons (or beneficial owners) are up-to-date, complete, true and therefore reliable and credible.  By signing below, the Client undertakes that in case of any changes in the data included in this list of authorized representatives and controlling persons (or beneficial owners) he/she shall notify the Export-Import Bank of the Slovak Republic thereof without undue delay. |

In…………………………….. on……..………………

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Signature